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**ESTIMATION OF HYDRAULIC PROPERTIES OF AN
UNSATURATED SOIL USING A KNOWLEDGE-BASED
SYSTEM**

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ABSTRACT

The implementation of unsaturated soil mechanics into practice comes in parallel with the acceptance of a new soil mechanics paradigm. Computers and numerical modeling play a dominant role in responding to 'What if---?' scenarios. For many problems involving unsaturated soils, it is necessary to be able to input approximate unsaturated soil property functions into the numerical model. These functions can be approximated from either a knowledge of the soil-water characteristic curve or the grain size distribution of the soils involved. Databases of previous test data, along with a knowledge-based system becomes an important part of determining the necessary input soil property functions. This implementation procedure deviates somewhat from historical classical soil mechanics procedures but has proven to be an acceptable procedure for the modeling of soil behavior.

Introduction

The characterization of unsaturated soil behavior in terms of two independent stress state variables appears to be generally accepted as evidenced from the proceedings of the First International Conference on Unsaturated Soils, Paris, France (1995). Theories have been formulated for the classic areas of i) seepage, ii) shear strength, and iii) volume change, for unsaturated soils (Fredlund, 1979). Constitutive relationships have been proposed for the classic areas of soil mechanics for saturated and unsaturated soils and in each case the soil properties become soil property functions.

The soil-water characteristic curve (relationship between water content and soil suction) has become of great value in estimating unsaturated soil property functions. The characterization of seepage, for example, in terms of a hydraulic head gradient and a coefficient of permeability function appears to be generally accepted (Fredlund, 1995). The use of nonlinear soil property functions for analyzing unsaturated soils problems appears to be gaining general acceptance. This paper primarily addresses the use of indirect procedures to estimate unsaturated soil property functions for use in the numerical modeling of saturated/unsaturated soil systems in engineering practice.

Properties of the Soil-Water Characteristic Curve

The behavior of unsaturated soils (i.e., unsaturated soil property functions) are strongly related to the pore size geometry and the pore size distribution. The soil-water characteristic curve becomes a dominant relationship for understanding unsaturated soil behavior. The soil-water characteristic curve defines the degree of saturation (or water content) corresponding to a particular suction in the soil and becomes a measure of the pore size distribution of the soil. Figure 2 shows the general features of the desorption and adsorption branches of a soil-water characteristic curve. An equation proposed by Fredlund and Xing (1994) to empirically best-fit the soil-water characteristic curve is as follows:

$$\theta_w = C(u_a - u_w) \frac{\theta_s}{\left\{ \ln \left[e + \left((u_a - u_w) / a_f \right)^{n_f} \right] \right\}^{m_f}} \quad [1]$$

where: θ_w = volumetric water content, θ_s = volumetric water content at saturation, $e = 2.718\dots\dots$, $(u_a - u_w)$ = soil suction, a_f = soil parameter approximating the air entry of the soil, n_f = soil parameter related to the rate of desaturation, m_f = soil parameter related to residual water content conditions, $C(u_a - u_w)$ = correction factor to ensure that the function goes through 1,000,000 kPa of suction at zero water content.

While it is relatively easy to measure the soil-water characteristic curve in the laboratory, the test is quite costly and has not found its way into most conventional soils laboratories. An examination of the possibility of using grain size distribution classification test data for the prediction of the soil-water characteristic curve is worthwhile.

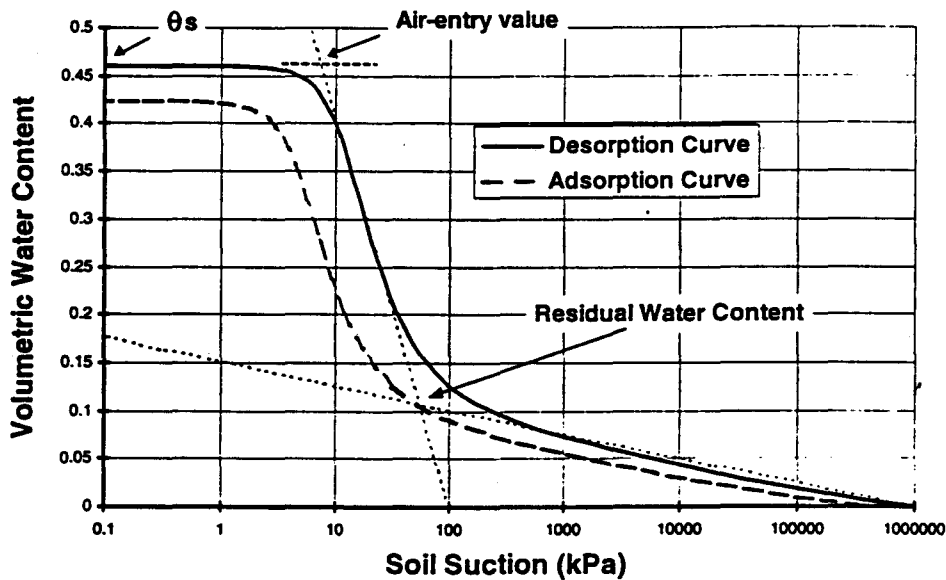


Figure 1 Definition of variables associated with the soil-water characteristic curve.

Approaches to Obtain Unsaturated Soil Property Functions

Several approaches can be taken towards the determination of unsaturated soil property functions (Figure 2). The term, **unsaturated soil property functions**, refers to such relationships as: 1.) coefficient of permeability versus soil suction, 2.) water storage variable versus soil suction, and 3.) shear strength versus soil suction. Laboratory tests can be used as a direct measure of the required unsaturated soil property. For example, a (modified) direct shear test can be used to measure the relationship between matric suction and shear strength. These tests can be costly and the necessary equipment may not be available. Therefore, it may be sufficient to revert to an indirect laboratory test involving the measurement of the soil-water characteristic curve for the soil. The soil-water characteristic curve can then be used in conjunction with the saturated shear strength properties of the soil, to predict the relationship between shear strength and matric suction. Some accuracy will likely be lost in reverting to this approach; however, the trade-off between accuracy and cost may be acceptable for many engineering projects.

Figure 2 illustrates how one of several approaches can be used to determine the unsaturated soil property functions when using the classification and/or soil-water characteristic curve in conjunction with a knowledge-based system, to compute the unsaturated soil property functions. Plausible procedures can best be viewed within the context of a database of soil-water characteristic curve information and a knowledge-based system. Ongoing use is made of data accumulated from other laboratory studies. The first suggested procedure involves matching measured soil-water characteristic curves with soil-water characteristic curves already in the database. The measured soil-water characteristic curves can be either used to compute unsaturated soil property functions or can be used to select unsaturated soil property functions already in the database.

The second suggested procedure involves matching measured classification properties (i.e., grain size curves) with classification properties already in the database. Once one or more similar soils have been found, corresponding soil-water characteristic curves can be retrieved from the database. These soil-water characteristic curves data can be used to

compute suitable unsaturated soil property functions or existing unsaturated soil property functions can be retrieved from the database.

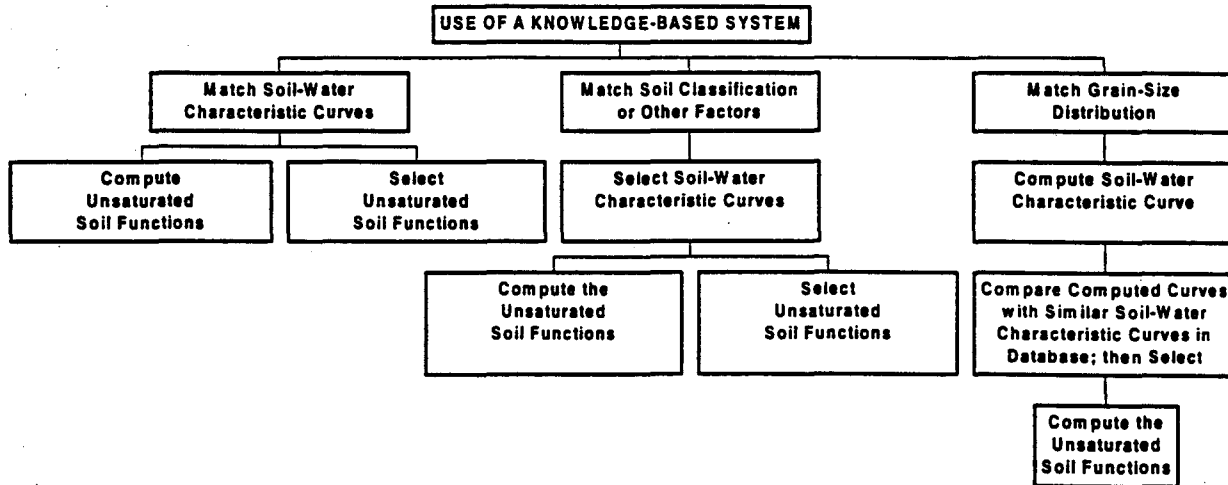


Figure 2 Approaches that can be used to determine the unsaturated soil property functions when using classification tests and a data base.

The third suggested procedure involves working directly with the measured grain size curve. There may also be some value in comparing the grain size curve to grain size curves in the database. Soil-water characteristic curves can then be computed and compared to soil-water characteristic curves in the database. A decision must be made regarding a reasonable soil-water characteristic curve and then the unsaturated soil property functions can be computed.

The advantages to one of the above three approaches are numerous. Firstly, an estimate of the unsaturated behavior of a certain soil is quickly available. Unsaturated soil mechanics has often been avoided due to complexity but this difficulty can readily be overcome with existing software. Secondly, the cost of estimation of soil behavior is greatly reduced. Testing of unsaturated soil property functions can cost thousands of dollars. A knowledge-based database system provides estimates without the high cost of experimental testing. Thirdly, the knowledge-based system makes the estimation of behavior of unsaturated soils easy so that inexperienced professionals can work in the difficult area of unsaturated soil mechanics.

Example - Environmental Application

An example application of this technology is the modeling of water seepage through mine tailings. A mine site in Papua, New Guinea is presented in this example. A eroded drainage ditch through mining tailings over a clay layer forms the problem (Figure 3). Two types of analysis are required; steady state and transient state. A simulated rainfall of 5.3 meters per year is simulated in the steady state analysis. A high rainfall is chosen to simulate the wet climate found in Papua New Guinea. The purpose of the steady state analysis is to determine the location of the water table. The water content of the shoulders of the drainage ditch under steady state is unknown. Finite element seepage analysis will be performed to determine the water content throughout the drainage ditch under steady state conditions.

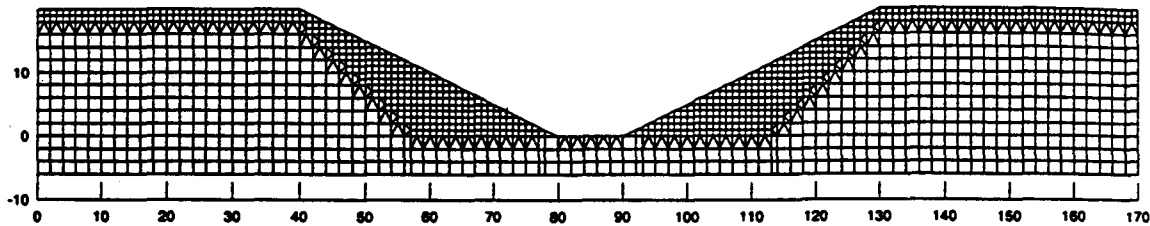


Figure 3 Problem definition for site in Papua New Guinea

A drought is simulated in the transient analysis to determine the time required to desaturate the tailings. The results from the steady state analysis will be used as a starting point for the transient analysis. An evaporation rate of 1.0 meter per year is placed as a flux on top of the tailings. The information given is the volume-mass properties and grain-size distributions for both the mining tailings and the underlying clay layer. From the given information it is necessary to estimate a soil-water characteristic curve and hydraulic conductivity curve for both the clay and the mining tailings in order to perform an adequate seepage analysis.

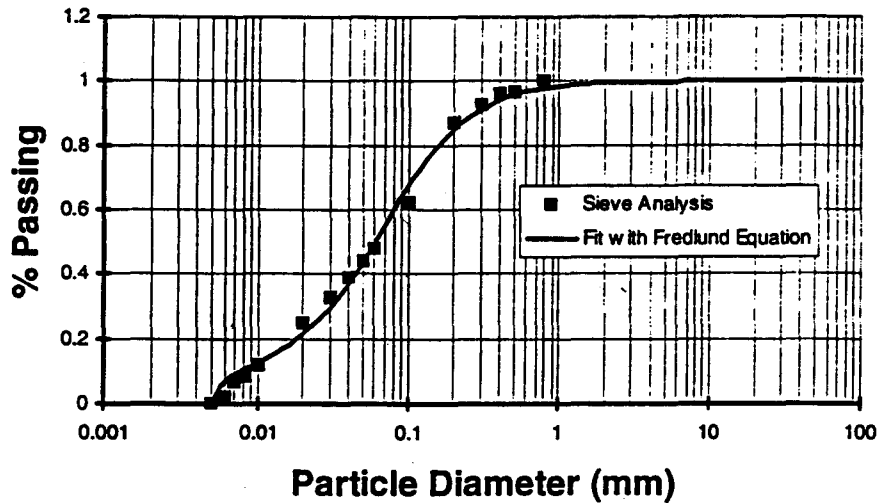


Figure 4 Given grain-size distribution for the mine tailings

The soil-water characteristic curve must now be predicted. The soil-water characteristic curve was estimated by the pedo-transfer function provided within SoilVision. The function estimates the soil-water characteristic curve from volume-mass properties and the grain-size distribution of a soil. A graph of the predicted and fit soil-water characteristic curve can be seen in Figure 5. Fredlund & Xing's equation was used to fit the predicted points of the soil-water characteristic curve. If some uncertainty exists regarding the prediction of the soil-water characteristic curve, the predicted results can be compared to experimental results in the database by querying the database and graphing groups of experimentally measured soil-water characteristic curves. The database contains over 600 soils with matching experimentally measured grain-sized distributions and soil-water characteristic curves.

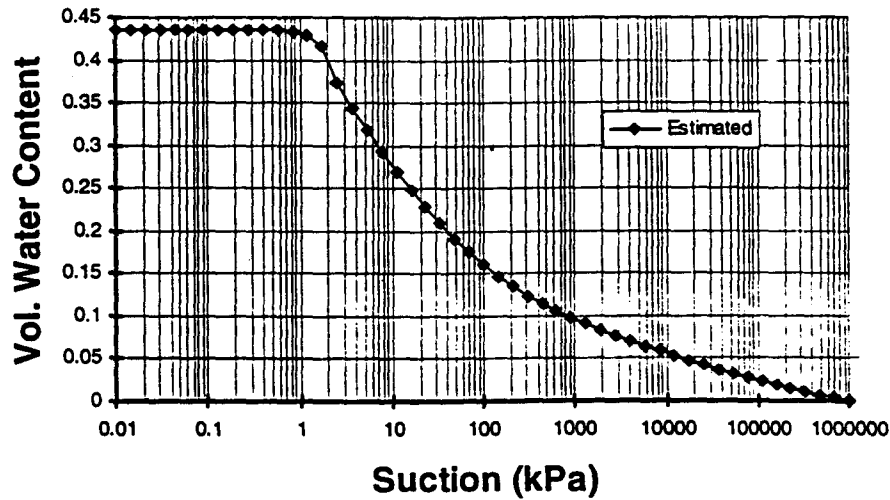


Figure 5 Estimated soil-water characteristic curve for mine tailings

It is now necessary to estimate the hydraulic conductivity of the tailings and the clay. Saturated values of hydraulic conductivity for the mine tailings and the underlying clay were experimentally tested. Once the saturated hydraulic conductivity is determined, the entire hydraulic conductivity curve was estimated using a Campbell (1973) equation modified by the author. A graph of the final equation is shown in Figure 6.

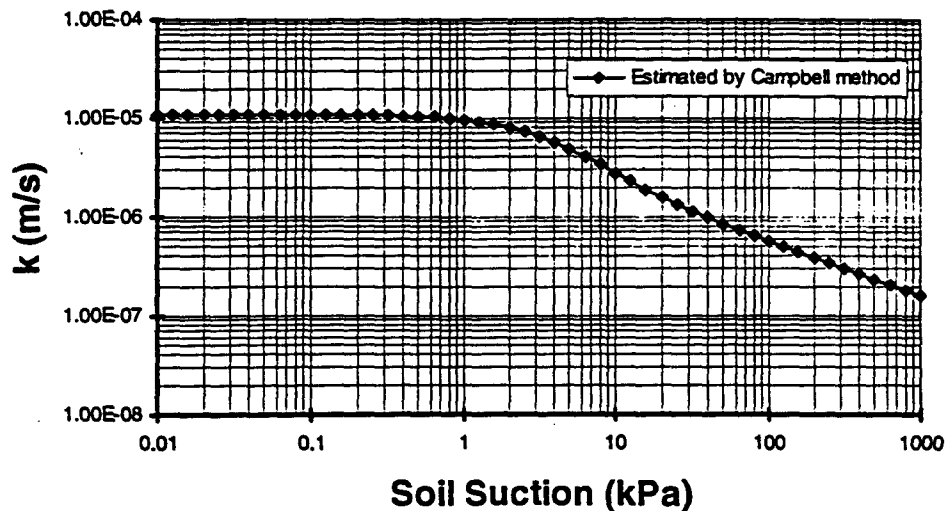


Figure 6 Estimated hydraulic conductivity curve for the mine tailings #11505

Analysis of the problem can begin with the soil property functions provided by SoilVision. The soil property functions were input into the program SEEP/W and both the steady state, and the transient state problem were solved. The steady state analysis showed the location of the water table under the heavy rainfall experienced in Papua, New Guinea and the

transient analysis showed the saturation levels in the tailings in the event of a long drought. The solution for the steady state analysis can be seen in Figure 7.

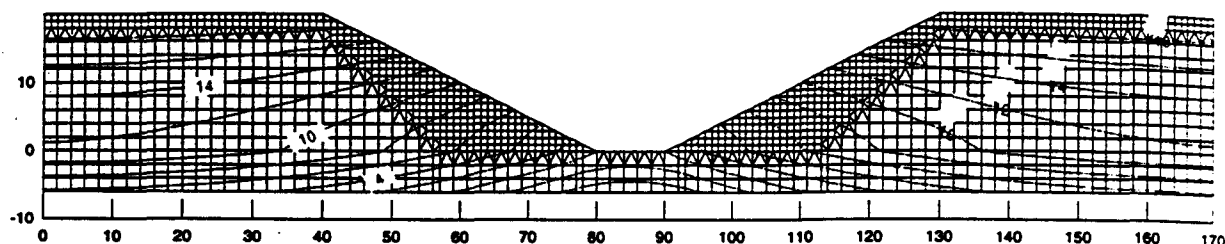


Figure 7 Results from SEEP/W of steady state analysis

Conclusions

The soil-water characteristic curve (relationship between water content and suction) has become of great value in estimating unsaturated soil property functions. The characterization of seepage, for example, in terms of a hydraulic head gradient and a coefficient of permeability function appears to be generally accepted (Fredlund, 1995). The use of nonlinear soil property functions for analyzing unsaturated soils problems appears to be gaining general acceptance. The soil-water characteristic curve then becomes a dominant relationship for understanding unsaturated soil behavior. This concept allows the soil-water characteristic curve can be used to compute approximate soil property functions for unsaturated soils.

The advantages to this approach are numerous. Firstly, an estimate of the unsaturated behavior of a certain soil is quickly available. The knowledge-based database system alleviates this complexity. Secondly, the cost of estimation of soil behavior is greatly reduced. Testing of unsaturated soil property functions can cost thousands of dollars. A knowledge-based system provides estimates without the high cost of experimental testing. Thirdly, a knowledge-based system makes the estimation of behavior of unsaturated soils easy so that inexperienced professionals can work in this difficult area.

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